IN THE UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK

MERRILL LYNCH, PIERCE, FENNER & SMITH INCORPORATED,

Case No. 1:14-cv-09717-KPF

Plaintiff,

Judge Katherine Polk Failla

v.

THOMAS DEXTER,

Defendant.

Plaintiff Merrill Lynch, Pierce, Fenner & Smith Incorporated ("Merrill Lynch") and Defendant Thomas Dexter ("Dexter") respectfully submit this joint status report pursuant to the Court's directive in its Memo Endorsement dated January 9, 2015 (Docket Entry No. 16).

Since the filing of the last status report on July 8, 2015, the Trustee filed a *Report of Assets* with the United States Bankruptcy Court for the District of Connecticut ("Bankruptcy Court") on July 23, 2015. The *Report of Assets* states that there appears to be funds available for distribution to creditors and requests that the Bankruptcy Court set a deadline for creditors to file proof of claims. Therefore, on July 24, 2015, the Bankruptcy Court directed creditors who wish to share in any distribution of funds to file proof of claims by October 22, 2015. Merrill Lynch filed its proof of claim on August 17, 2015.

Dated: October 6, 2015

Respectfully submitted:

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Attorney for Plaintiff Merrill Lynch, Pierce, Fenner & Smith Incorporated

Thomas Dexter (Pro Se)

CERTIFICATE OF SERVICE

I hereby certify that on this 6^{th} day of October 2015, a true and accurate copy of the foregoing Joint Status Report was served through the Court's ECF system, and via electronic mail and U.S. First Class Mail, to the following:

Thomas Dexter 125 Skunk Lane Wilton, CT 06897 jotccap4@yahoo.com

Louis M. Lagalant